



Andrew B. Sosnowitz

AAMS[®], CRPC[®], CMFC[®]

CRD No. 3202095

Buttonwood Capital Management, LLC

17550 N Perimeter Drive – Suite 130 | Scottsdale, AZ 85255
(480) 305-2105

www.buttonwoodcm.com

December 21, 2021

This brochure supplement provides information about your Investment Advisor Representative that supplements the Buttonwood Capital Management, LLC disclosure brochure. You should have received a copy of that brochure that describes the investment advisory services offered through Buttonwood Capital Management, LLC an investment advisor firm. Please contact Buttonwood Capital Management, LLC at the telephone number above if you did not receive their brochure or if you have any questions about the contents of this supplement. Additional information about your Investment Advisor Representative is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

This section of the brochure supplement includes the supervised person's name, age (or year of birth), formal education after high school, and business background (including an identification of the specific positions held) for the preceding five years.

- Andrew B. Sosnowitz, CRPC®, AAMS®, CMFC®
- Year of birth: 1971

Education

The following information details your Financial Advisor's formal education. If a degree was attained, the type of the degree will be listed next to the name of the institution. If a degree is not listed, the Financial Advisor attended the institution but did not attain a degree.

- University of Arizona; B.A
- Massachusetts Institute of Technology (MIT); Executive Certificate in Management and Leadership

Business Experience

The following information details your Financial Advisor's business experience for at least the past 5 years.

- Buttonwood Capital Management, LLC; President, Chief Investment Officer (CIO), Chief Compliance Officer (CCO), Investment Adviser Representative
01/2015 - Present
- LPL Financial, LLC doing business as Buttonwood Capital Management LLC (formerly Buttonwood Financial Partners, LLC); Registered Representative
09/2009 - Present
- Merrill Lynch, Pierce, Fenner & Smith Incorporated; Financial Advisor
07/1999 - 09/2009

Andrew is the President, Chief Investment Officer and Chief Compliance officer of Buttonwood Capital Management, LLC.

With over 20 years of investment experience, Andrew focuses his efforts on working with clients to develop their overall financial strategy, manage their investments, and integrate their tax and estate planning into a cohesive wealth management plan.

Prior to launching an independent wealth management firm in 2009, Andrew spent more than a decade in the Merrill Lynch Global Private Client Group. Serving as an Assistant Vice President & Wealth Management Advisor, Andrew gained extensive experience vetting investment opportunities across multiple asset classes and through a range of market environments working with both traditional and alternative managers. In addition, Andrew counseled clients on implementing a vast array of investment and financial planning techniques for families and individuals with complicated estate planning and financial structures.

Awarded a bachelor's degree from the University of Arizona and post-baccalaureate credentials at MIT's Sloan School of Management, Andrew also holds a CRPC® (Chartered Retirement Planning Counselor), AAMS® (Accredited Asset Management Specialist), and CMFC® (Chartered Mutual Fund Counselor) – awarded through the College for Financial Planning. Andrew is involved in community service through such organizations as “Chances 4 Children” as well as “Both Ends Believing,” supporting his belief that every child deserves a family. He continues to serve as an advocate for both domestic and international adoption.

Professional Designations

The following provides information on professional designation(s) that your Financial Advisor earned.

Accredited Asset Management Specialist - AAMS® College for Financial Planning

- Designation: Accredited Asset Management Specialist (AAMS®). Issuing Organization: College for Financial Planning. Prerequisites/Experience Required: None. Educational Requirements: Must complete a 6-8 week study curriculum and final examination. (12 modules requiring 100-120 hours). Continuing Education: 16 hours every 2 years.

Chartered Retirement Planning Counselor - CRPC® College for Financial Planning

- Designation: Chartered Retirement Planning Counselor (CRPC®). Issuing Organization: College for Financial Planning. Prerequisites/Experience Required: None. Educational Requirements: Must complete a 9-11 week study curriculum and final examination. (11 modules requiring 90-100 hours). Continuing Education: 16 hours every 2 years.

Chartered Mutual Fund Counselor - CMFC® College for Financial Planning

- Designation: Chartered Mutual Fund Counselor (CMFC®). Issuing Organization: College for Financial Planning. Prerequisites/Experience Required: None. Educational Requirements: Must complete a 9-11 week study curriculum and final examination (Nine modules 80-90 hours), final exam. Continuing Education: 16 hours every 2 years.

Item 3 - Disciplinary Information

This section includes any legal or disciplinary events and material to a client's or prospective client's evaluation of the supervised person.

There are no legal or disciplinary event(s) to disclose or, provide details.

Item 4 - Other Business Activities

This section includes any relationship between the advisory business and the supervised person's other financial industry activities that creates a material conflict of interest with clients and describes the nature of the conflict and generally how it is addressed. If the supervised person is actively engaged in any investment-related business or occupation, including if the supervised person is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, the business relationship, if any, between the advisory business and the other business is disclosed below.

Insurance Licensed Registered Representative:

Your financial advisor is also a broker or registered representative of LPL Financial and may receive commissions and other types of compensation for the sale of securities. Your financial advisor also may sell insurance and may receive commissions for insurance product sales. The potential for the receipt of commissions may give a broker an incentive to recommend investment or insurance products based on the compensation received, rather than on the client's needs. However, your financial advisor may only recommend securities and insurance products that he or she believes are suitable for you. If you have any questions regarding the compensation your financial advisor receives when recommending a product, you should ask your financial advisor. You are under no obligation to purchase investment products or insurance through your financial advisor.

Item 5 - Additional Compensation

This section includes details regarding if someone who is not a client provides an economic benefit to the supervised person for providing advisory services. For purposes of this Item, economic benefits include sales awards and other prizes, but not the supervised person's regular salary, if any.

Your financial advisor may receive economic benefits from persons other than clients in connection with advisory services. Your financial advisor provides services in an Asset Management account and may recommend mutual funds. Only no-load and load-waived mutual funds are available to be purchased in such asset management accounts. However, some of these mutual funds may pay distribution or service fees (e.g., 12b-1 fees) payable to LPL Financial. However, when your financial advisor provides investment advisory services, it is as a fiduciary under the Investment Advisers Act and has a duty to act in your best interest and to make full and fair disclosure to you of all material facts and conflicts of interest. Your financial advisor may receive compensation from product sponsors. Compensation may include such items as gifts valued at less than \$100 annually, an occasional meal, ticket to an event, or reimbursement in connection with educational training events, marketing or advertising initiatives. Such compensation may not be tied to the sale of any products. Your financial advisor receives compensation as a result of your participation in LPL Financial advisory programs. LPL Financial shares a portion of the account fee you pay with your advisor, which may be more than what would have been received at another investment advisor firm. This compensation may also include other types of compensation, such as bonuses, awards or other things of value offered by LPL Financial. LPL Financial may pay your advisor in different ways, such as payments based on production, awards of stock options to purchase shares of LPL Financial's parent company, LPL Financial Holdings Inc., reimbursement of fees that he may pay to LPL Financial for items such as administrative services, and other things of value such as free or reduced-cost marketing materials, payments in connection with the transition of association from another broker/dealer or investment advisor firm to LPL Financial, advances of advisory fees, or attendance at LPL Financial's national conference or top producer forums and events. LPL Financial may pay your advisor this compensation based on his overall business production and/or on the amount of assets serviced in LPL Financial advisory programs. Therefore, the amount of this compensation may be more than what would be received if a client participated in other LPL Financial programs, or programs of other investment advisor firms or paid separately for investment advice, brokerage and other client services. Therefore, your advisor may have a financial incentive to recommend an advisory program over other programs and services. However, your advisor may only recommend a program or service that is believed to be appropriate for you.

To the extent your advisor recommends you engage LPL Financial; it is because your advisor believes that the advisory program or service is appropriate for you. Your financial advisor may act as a referral agent to third party investment advisor firms and he or she may receive referral compensation from such investment advisor firms. In such case, you are provided disclosure about the arrangement and the compensation to be received at the time of the referral.

Item 6 - Supervision

This section explains how Buttonwood Capital Management, LLC supervises the supervised person, including how the advice the supervised person provided to clients is monitored.

Buttonwood Capital Management, LLC maintains a supervisory structure and system reasonably designed to prevent violations. Your Advisors securities-related activities are supervised by an individual registered as a principal in accordance with FINRA regulations. In addition, compliance staff uses tools that monitor the advisory services provided by your financial advisor, for example, with respect to asset allocation, concentration, and account activity. Andrew Sosnowitz serves as the Chief Compliance Officer and is responsible for administering the Buttonwood Capital Management, LLC policies and procedures for investment advisory activities and for regularly evaluating their effectiveness. The Chief Compliance Officer can be reached at (480) 305-2105.